

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Thomas GRAFENAUER

Appln. No. : 10/697,560

Filed : October 31, 2003

Confirmation No.: 8411

For : PANEL AND PROCESS FOR PRODUCING A PANEL

REQUEST FOR REFUND

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop 16
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

Applicant(s) respectfully request(s) a refund in the amount of \$200.00 that was charged to our Deposit Account No. 19-0089 on October 16, 2006, in connection with the filing, by EFS, of an Amendment on October 12, 2006.

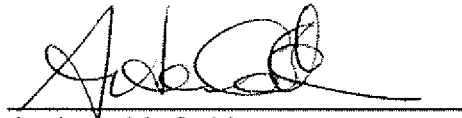
The basis of this Request for Refund is that the account was charged for independent claims in excess of 3 upon filing the Amendment by EFS on October 12, 2006. However, Applicant(s) had already paid for the additional claim fee by EFS on May 24, 2006. A copy of the Electronic Acknowledgment Receipt for the EFS submission, ID 1057049, indicating that the Amendment was received in the UPSTO on May 24, 2006, with payment, is attached hereto.

Additionally, please find enclosed a copy of the Request for Refund of \$250.00 previously submitted on June 14, 2006, of which remains to be resolved, regarding a

double charge for excess claims in connection with the filing, by EFS, of an Amendment on May 24, 2006.

Please make payment of the refund to Deposit Account No. 19-0089.

Respectfully submitted,
Thomas GRAFENAUER

A handwritten signature in black ink, appearing to read 'Andrew M. Calderon', is written over a horizontal line.

Andrew M. Calderon
Reg. No. 38,093

November 21, 2006
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Electronic Acknowledgement Receipt

EFS ID:	1057049
Application Number:	10697560
Confirmation Number:	8411
Title of Invention:	Panel and process for producing a panel
First Named Inventor:	Thomas Grafenauer
Customer Number:	7055
Filer:	Andrew Michael Calderon/Liping Qi
Filer Authorized By:	Andrew Michael Calderon
Attorney Docket Number:	03100132US
Receipt Date:	24-MAY-2006
Filing Date:	31-OCT-2003
Time Stamp:	16:48:47
Application Type:	Utility
International Application Number:	

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 250
RAM confirmation Number	166
Deposit Account	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
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1	Amendment - After Non-Final Rejection	P27123_AMENDMENT.pdf	394783	no	14
Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	fee-Info.pdf	8265	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			403048		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Thomas GRAFENAUER

Attn: **Mail Stop 16**

Appln. No. : 10/697,560

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Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop 16
Randolph Building
401 Dulany Street
Alexandria, VA 22314

REQUEST FOR REFUND

Sir:

Applicant respectfully requests a refund in the amount of \$250.00 that was charged to our Deposit Account No. 19-0089 in connection with the filing, by EFS, of an Amendment on May 24, 2006.

The basis of this Request for Refund is that the account was charged for claims in excess upon filing the Amendment by EFS on May 24, 2006. During submission of the Amendment, the EFS system did not return an Acknowledgement of Receipt, as it should have, and did not allow Applicant to proceed with the payment of the requisite claim fee, as it should have; instead, the EFS system returned a processing error message, a copy of which is attached hereto. Upon consulting with the Electronic Business Center (EBC), Applicant was advised to resubmit the Amendment. Therefore, the Amendment was resubmitted successfully by EFS, with the requisite fee. A copy of

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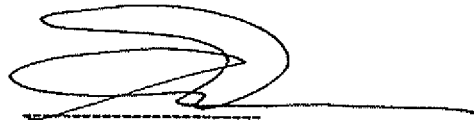
the Acknowledgment of Receipt for this second EFS submission, ID 1057049, indicating that the Amendment was received in the USPTO on May 24, 2006, at 16:48:47, is also attached hereto.

On May 30, 2006, the EBC informed Applicant that the first EFS submission of the Amendment, ID 1056243, was indeed received in the USPTO on May 24, 2006 at 15:57:02, that the deposit account was charged for the claim fee, and that an Acknowledgement of Receipt (copy attached) was finally posted for the first submission about 5 hours after the EFS transaction.

Therefore, the payment of the claim fee in the amount of \$250.00 was applied twice, for a total of \$500.00, to the same Amendment; once to the deposit account in connection with the first EFS submission ID 1056243, and a second time by credit card in connection with the second EFS submission ID 1057049.

Please make payment of the refund to Deposit Account No. 19-0089.

Respectfully submitted,
Thomas GRAFENAUER



Andrew M. Calderon
Reg. No. 38,093

May 30, 2006
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Electronic Acknowledgement Receipt

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EFS ID:	1056243
Application Number:	10697560
Confirmation Number:	8411
Title of Invention:	Panel and process for producing a panel
First Named Inventor:	Thomas Grafenauer
Customer Number:	7055
Filer:	Andrew Michael Calderon/Liping Qi
Filer Authorized By:	Andrew Michael Calderon
Attorney Docket Number:	03100132US
Receipt Date:	24-MAY-2006
Filing Date:	31-OCT-2003
Time Stamp:	15:57:02
Application Type:	Utility
International Application Number:	

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Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Amendment - After Non-Final Rejection	P27123_AMENDMENT.pdf	394783	no	14

COPY

Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	fee-info.pdf	8265	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			403048		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					

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Confirmation Number:	8411
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